



ANU Ethics Approval: Participant Information

Researcher

Emeritus Professor Glenn Withers, ANU, Crawford School of Public Policy.

Project Title

Impact Assessment of Critical Emerging Technologies in Support of Peace and Stability

Outline of the project

Description and Methodology: This project is aimed at the development of best practices in joint technology impact assessment (TIA) on critical emerging technologies affecting peace and stability. It aims to develop new multi-stakeholder assessment approaches through workshops, targeted webinars, and by developing related professional education instruments. It seeks in this way to strengthen consensus among key stakeholders in Australia and India regarding such best practices.

Participants: Participants are interested and informed stakeholders drawn from universities and think tanks, government agencies and industry. You will be the contributors for project's Workshops and Webinars. The Workshops will comprise around 25 participants on-line. The webinars will be open to up to 50 participants on-line. Workshops will be sub-group discussions with report back to plenary. Webinars will be expert presentations to the full group followed by open discussion on chat. These collaborative activities and follow-on professional education materials are further intended to help foster a self-organising and self-sustaining community of practice in Australia and India, focused on shared approaches to technology impact assessment.

Use of data and Feedback: Your discussions will lead to two primary research outputs as full-length policy reports. The first of these will address best practice in technology impact assessment, while the second report will address the opportunities for bilateral collaboration in technology impact assessment. Additional outputs include a detailed introductory Briefing Paper, a portfolio of professional education products comprising a syllabus and support materials, and a summative paper capturing the key highlights and outcomes of the project at its conclusion. All of these outputs will be provided to all participants.

Project Funding:

This project is funded by the Australian Department of Foreign Affairs and Trade under its Australia India Cyber and Critical Technologies Partnership Scheme. The project grant to ANU is also distributed to partners as sub-contractors in this project. The partners are InkludeLabs, Arizona State University, Southern Cross University, Tiger Associates, and Blended Learning International.

Participant Involvement

Voluntary Participation and Withdrawal: Your participation in this project is fully voluntary and you may decline to participate, decline to answer a question, or withdraw without explanation. As your participation will be in workshops and/or webinars isolating and destroying individual contributions may be impossible given the group nature of the discussion. But we will not attribute use of your contributions in any identifiable way without your explicit permission.

What does participation involve: By participating in these group discussions, the material will be assimilated into exposition and analysis of the arguments to be used in the project reports without personal or individual attribution. Where any attribution is desired, it will require explicit agreement by you. The recording of the discussions is for access for the specified analysts writing the papers. No additional personal information is required or sought for this project.

Location and duration: The workshops and webinars are to be conducted on-line. Each workshop will be conducted in two segments of two and a half hours a week apart. Each webinar will comprise ninety minutes on-line in a single session.

Remuneration: The project does not involve providing remuneration for participants

Risks: Differences of view across the participants may become strong and cultural differences could arise between you. However, this risk is judged as small since all participants are to be experienced analysts and practitioner, and all discussions have expert moderators.

Benefits: Increased understanding of best practice in technology impact assessment will be fostered amongst practitioners and stakeholders, and how far this may need to allow for cultural context will be a new and insightful additional benefit for you, as will be the community of practice that is hoped to emerge from the exercise itself and from the use of the professional education materials to be produced., all of which will be available to you.

Exclusion criteria: participation in this project is by individual invitation based on knowledge and/or experience in the field.

Confidentiality:

Who will have access: only the nominated researchers will have access to the recorded material of discussions and presentations.

Data confidentiality: the recorded material will be kept private and confidential to the researchers except as utilised in the project reports, where any identifiability of individuals will be subject to the explicit agreement of those individuals.

Attribution: Of course, as group members may know each other (and will witness each other's contributions) workshop and webinar members must maintain the confidentiality of group discussions, and you understand that individuals in focus groups should refrain from making statements of a confidential nature or that are defamatory of any person.

Privacy Notice

In collecting your personal information within this research, the ANU must comply with the Privacy Act 1988. The ANU Privacy Policy is available at <https://policies.anu.edu.au/ppl/document/ANUP010007>. It contains information about how a person can:

- Access or seek correction to their personal information;
- Complain about a breach of an Australian Privacy Principle by ANU, and how ANU will handle the complaint

Data Storage

Where: the recordings of the group discussions that are the date for the project will be retained on ANU computers during collection, analysis and preparation of results under password protected, multi-factor authentication conditions.

How long: Data must be stored for a period of at least five years from the date of any publication arising from the research.

Handling of data following the required storage period: Data will be destroyed at the end of the storage period unless your consent is sought to archive or retain data for future use by project researchers or other specified researchers.

Queries and Concerns

Contact details for more information: requests for information or queries regarding the study participants should be directed to the Primary Investigator, Emeritus Professor Glenn Withers at Glenn.Withers@anu.edu.au or +61 (0) 416249350. For advice outside the project please contact human.ethics.officer@anu.edu.au

Overseas contacts: If you need an Indian contact, the appropriate person is Karthik Bappanad, InKlude Labs on email at karthik@klude.in or +91-98190-78294.

Contact details if in distress: if you are an ANU-affiliated person you can contact the ANU Counselling service or, within Australia, you may contact Lifeline. For India contact the Tele-Manas helpline on 14416

Ethics Committee Clearance

The ethical aspects of this research have been approved by the ANU Human Research Ethics Committee (Protocol 2024/1245).

If you have any concerns or complaints about how this research has been conducted, please contact:

Ethics Manager

The ANU Human Research Ethics Committee

The Australian National University

Telephone: +61 2 6125 3427

Email: Human.Ethics.Officer@anu.edu.au